



October 9, 2018

The Secretary, Listing Department  
BSE Limited  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai - 400 001.  
Maharashtra, India.  
Scrip Code: 500470/890144

The Manager, Listing Department  
National Stock Exchange of India Limited  
Exchange Plaza, 5th Floor, Plot No. C/1,  
G Block, Bandra-Kurla Complex, Bandra  
Mumbai - 400 051.  
Maharashtra, India.  
Symbol: TATASTEEL/TATASTEELPP

Dear Madam, Sir,

**Certificates under Regulation 40(9) read with 61(4) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Please find enclosed Certificates dated October 9, 2018 for the half year ended September 30, 2018, from Mr. P. N. Parikh of Parikh & Associates, Practicing Company Secretaries.

These certificates have been issued in terms of Regulation 40(9) read with Regulation 61(4) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This is for your information and records.

Thanking you.

Yours faithfully,  
**Tata Steel Limited**

  
**Parvatheesam K.**  
Company Secretary

Encl: As above

**TATA STEEL LIMITED**

Registered Office Bombay House 24 Homi Mody Street Fort Mumbai 400 001 India  
Tel 91 22 6665 8282 Fax 91 22 6665 7724 website www.tatasteel.com  
Corporate Identity Number L27100MH1907PLC000260

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND  
EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND  
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2018**

We have examined Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to TATA STEEL LIMITED maintained by TSR Darashaw Limited, Registrar and Share Transfer Agents pertaining to transfer of fully paid equity shares of the company for the period from 1<sup>st</sup> April, 2018 to 30<sup>th</sup> September, 2018 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, We hereby certify that :

A) 1.a. the Company has delivered during half year ended on 30<sup>th</sup> September, 2018 share certificates relating to the transfer of shares received during the period from 1<sup>st</sup> April, 2018 to 30<sup>th</sup> September, 2018, within thirty days, as entered in the Memorandum of Transfers from the respective date of lodgment of each deed excepting those rejected on technical grounds;

1.b. the Company has delivered during half year ended on 30<sup>th</sup> September, 2018 share certificates in respect of requests relating to sub-division (split), consolidation and renewal received during the period from 1<sup>st</sup> April, 2018 to 30<sup>th</sup> September, 2018, within thirty days from the respective date of lodgment excepting those rejected on technical grounds. As per the records made available to us there were no requests relating to exchange or endorsement of calls/allotment monies received during the said period.

B) Any other information, if applicable: ---

For Parikh & Associates



P.N.Parikh

FCS: 327 CP: 1228

Place: Mumbai

Date: October 09, 2018

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND  
EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND  
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2018**

We have examined Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to TATA STEEL LIMITED maintained by TSR Darashaw Limited, Registrar and Share Transfer Agents pertaining to transfer of partly paid equity shares of the company for the period from 1<sup>st</sup> April, 2018 to 30<sup>th</sup> September, 2018 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, We hereby certify that :

A) 1.a. the Company has delivered during half year ended on 30<sup>th</sup> September, 2018 share certificates relating to the transfer of shares received during the period from 1<sup>st</sup> April, 2018 to 30<sup>th</sup> September, 2018, within thirty days, as entered in the Memorandum of Transfers from the respective date of lodgment of each deed excepting those rejected on technical grounds;


1.b. . As per the records made available to us there were no requests relating to sub-division (split), consolidation, renewal and exchange or endorsement of calls/allotment monies received during the period from 1<sup>st</sup> April, 2018 to 30<sup>th</sup> September, 2018.

B) Any other information, if applicable: ---

For Parikh & Associates



Place: Mumbai  
Date: October 09, 2018

  
P.N.Parikh  
FCS: 327 CP: 1228

**CERTIFICATE UNDER REGULATION 61(4) READ WITH REGULATION 40 (9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ( LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS ) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 30<sup>th</sup> SEPTEMBER, 2018**

Based on the Information/details provided to us by Tata Steel Limited ("the Company"), we hereby certify that in respect of the Debentures issued by the Company in Demat form, no physical debenture certificates were required to be delivered during the period from 01<sup>st</sup> April, 2018 to 30<sup>th</sup> September, 2018 and for the Debentures issued in physical form, there were no requests for transfer, sub-division, consolidation, renewal or split as the case may be, pursuant to Regulation 61(4) read with Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations 2015.

Place: Mumbai  
Date: October 09, 2018



For Parikh & Associates

P.N.Parikh  
FCS: 327 CP: 1228